

**DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

As a below named inventor, I hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names;

I believe I am the original, first and sole inventor (if only one name is listed below) or **an original, first and joint inventor** (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**WEB-BASED DCE MANAGEMENT**

the specification of which (check one)

  X   is attached hereto.

           was filed on                                   
as Application Serial No.                                   
and was amended on                                   
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

                                  
(Number) (Country) (Day/Month/Year)

     Yes      No

09697264-102600

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial #)

\_\_\_\_\_  
(Filing date)

\_\_\_\_\_  
(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John W. Henderson, Jr., Reg. No. 26,907; William T. Ellis, Reg., No. 26,874; Thomas E. Tyson, Reg. No. 28,543; Robert M. Carwell, Reg. No. 28,499; Richard A. Henkler, Reg. No. 39,220; Jeffrey S. LaBaw, Reg. No. 31,633; Douglas H. Lefevre, Reg. No. 26,193; David A. Mims, Jr., Reg. No. 32,708; Mark S. Walker, Reg. No. 30,699; Casimer K. Salys, Reg. No. 28,900; Volel Emile, Reg. No. 39,969; Christopher A. Hughes, Reg. No. 26,914; Edward A. Pennington, Reg. No. 32,588; John E. Hoel, Reg. No. 26,279; Joseph C. Redmond, Jr., Reg. No. 18,753 and David H. Judson, Reg. No. 30,467.

**Send correspondence to:** David H. Judson, Hughes & Luce, L.L.P., 1717 Main Street, Suite 2800, Dallas, Texas 75201 and direct all telephone calls to Mr. Judson at 214/939-5672.

009320T-49226960

FULL NAME OF FIRST INVENTOR: Theodore Jack London SHRADER

INVENTOR'S SIGNATURE: *Theodore Jack London Shrader*

DATE: May 22, 1997

RESIDENCE: Cedar Park, TX

CITIZENSHIP: United States

POST OFFICE ADDRESS: 1704 Shady Brook Lane  
Cedar Park, TX 78613

FULL NAME OF SOLE OR SECOND INVENTOR: Richard Jay COHEN

INVENTOR'S SIGNATURE: *Rich Jay Cohen*

DATE: May 22, 1997

RESIDENCE: 7613 Waldon Drive  
Austin, TX 78750

CITIZENSHIP: United States

POST OFFICE ADDRESS: 7613 Waldon Drive  
Austin, TX 78750

009697264-10600



EK 538216760 US 97-113

**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 30, 1997

PTAS

IBM CORPORATION  
JEFFREY S. LABAW  
INTELLECTUAL PROPERTY LAW SECT.  
11400 BURNET ROAD, INTERNAL ZIP 4054  
AUSTIN, TEXAS 78758



\*100507875A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

jc922 U.S. PTO  
09/697264  
10/26/00

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/08/1997

REEL/FRAME: 8631/0939  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COHEN, RICHARD JAY

DOC DATE: 05/22/1997

ASSIGNOR:

SHRADER, THEODORE JACK LONDON

DOC DATE: 05/22/1997

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES CORPORATION  
OLD ORCHARD ROAD  
ARMONK, NEW YORK 10504

SERIAL NUMBER: 08889727  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

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SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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08-12-1997

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7-8-97

70204 U.S. PTO  
08/889727

To the Honorable Commissioner of Patents & Trademarks: Please record the attached original documents or copies thereof

COHEN, Richard Jay  
SHRADER, Theodore Jack London  
Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: Old Orchard Road

City: Armonk State: NewYork ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: May 22, 1997

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: MAY 22 1997

A. Patent Application No.(s)

08/889727

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JEFFREY S. LABAW

IBM CORPORATION

Internal Address: INTELLECTUAL PROPERTY LAW SECT.

INTERNAL ZIP 4054

Street Address: 11400 Burnet Road

City: Austin State: Texas ZIP: 78758

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0447

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey S. LaBaw, Reg. No. 31,633

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 2

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Mail documents to be recorded with required cover sheet information to:

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Published by THE BUREAU OF NATIONAL AFFAIRS, INC., Washington, D.C. 20037

## ASSIGNMENT

WHEREAS, we:

- (1) Theodore Jack London Shrader of Cedar Park, County of Williamson, State of Texas,
- (2) Richard Jay Cohen of Austin, County of Travis, State of Texas; and

have intended certain improvements in

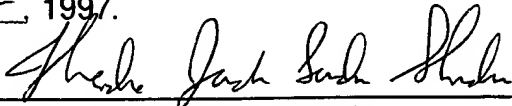
### WEB-BASED DCE MANAGEMENT

and executed a United States patent application therefor on (1) May 22, 1997; and (2) May 22, 1997.

WHEREAS, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the same application and invention, and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above-named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

- (1) Signed at Austin, Texas on May 22, 1997.

  
Theodore Jack London Shrader

- (2) Signed at Austin, Texas on May 22, 1997.

  
Richard Jay Cohen

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Shrader et al.**

§ Group Art Unit: 2773

§

Serial No.: **Unknown (Divisional of 08/889,727)**

§ Examiner: Sax

§

Filed: **(Herewith)**

§ Atty. Docket No.: AUS9-1997-0113-US2

§

For: **Method and System for Web-Based DCE  
Management**

§

§

## ASSOCIATE POWER OF ATTORNEY

Hon. Assistant Commissioner of Patents

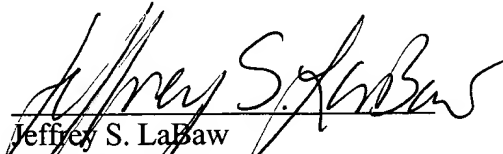
Washington, D.C. 20231

Sir:

I hereby appoint Joseph R. Burwell, Reg. No. 44,468 as associate attorney to prosecute the above-identified application and transact business in the U.S. Patent and Trademark Office connected herewith.

Date: 10/26/00

Respectfully submitted,



Jeffrey S. LaBaw

Reg. No. 31,633

Intellectual Property Law Dept.

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